

# Partial Regularization of First-Order Resolution Proofs

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# The Quest for Simple Proofs

“The 24th problem in my Paris lecture was to be: Criteria of simplicity, or proof of the greatest simplicity of certain proofs. Develop a theory of the method of proof in mathematics in general. Under a given set of conditions there can be but one simplest proof. Quite generally, if there are two proofs for a theorem, you must keep going until you have derived each from the other, or until it becomes quite evident what variant conditions (and aids) have been used in the two proofs. ”

—David Hilbert [Thi03]



# First-Order Proof Compression Motivation

- The best, most efficient provers, do not generate the best, least redundant proofs.
- Many compression algorithms for propositional proofs; few for first-order proofs.
- Finding a minimal proof is NP-hard, so use heuristics to find smaller proofs (see [FMP11])



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## Two-hundred-terabyte maths proof is largest ever

A computer cracks the Boolean Pythagorean triples problem — but is it really maths?

**Evelyn Lamb**

26 May 2016

2014

(See [HKM16])

- Larger proofs harder/longer to check; use more resources
- Proofs that are too large may mean solutions can't be written (SAT 2014)
- May use a strict subset of original hypothesis: better proofs!



# Our Goal

Lifting propositional proof compression algorithms to first-order logic.

Previous work: `LowerUnits` [FMP11].

This work: `RecyclePivotWithIntersection` [FMP11, BIFH<sup>+</sup>08]



# Recycling Pivots

Removes *irregularities*: inferences  $\eta$  where the pivot occurs as a pivot of another inference below  $\eta$  on the path to the root

- Store a set of *safe*  $\mathcal{S}(\eta)$  literals for each node  $\eta$
- If there are multiple paths, take *intersection* of safe literals
- Bottom-up: compute safe literals; mark deletions
- Top-down: regularize



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# Regularization Can Be Bad

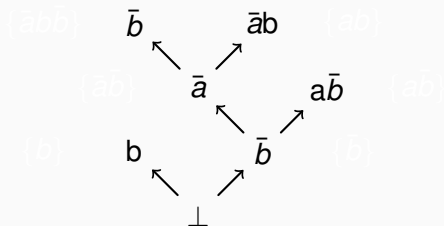
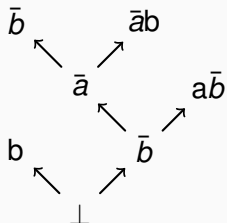
Resolution without irregularities is still complete. But:

## **Theorem ([Tse70])**

*There are unsatisfiable formulas whose shortest regular resolution refutations are exponentially longer than their shortest unrestricted resolution refutations.*

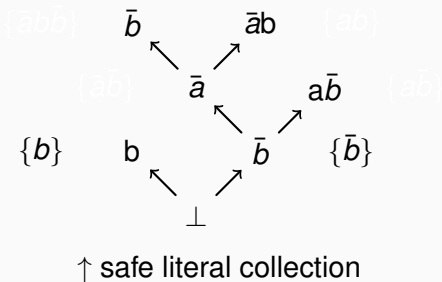
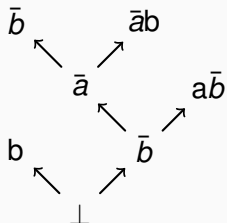


# Propositional Example

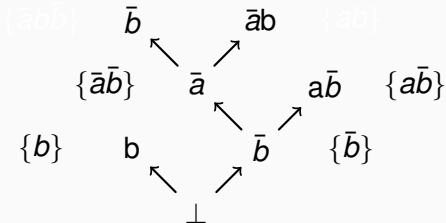
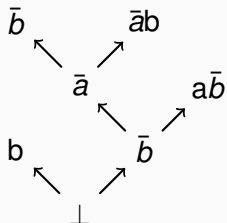


↑ safe literal collection

# Propositional Example

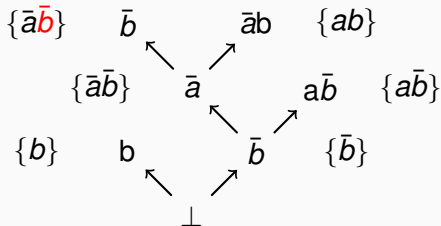
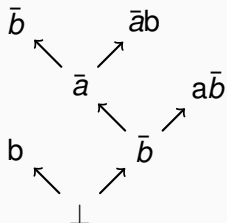


# Propositional Example



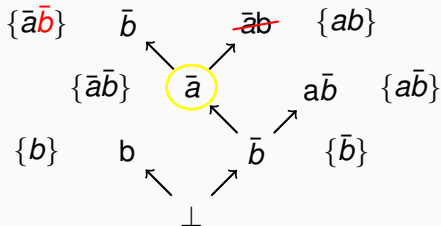
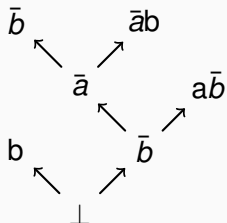
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# Propositional Example



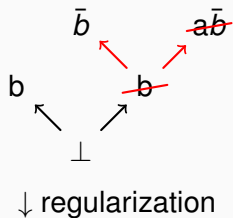
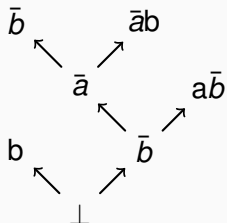
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# Propositional Example



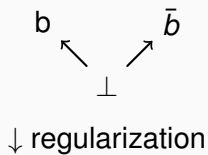
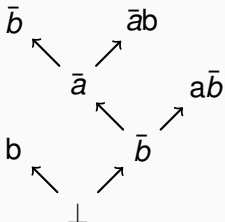
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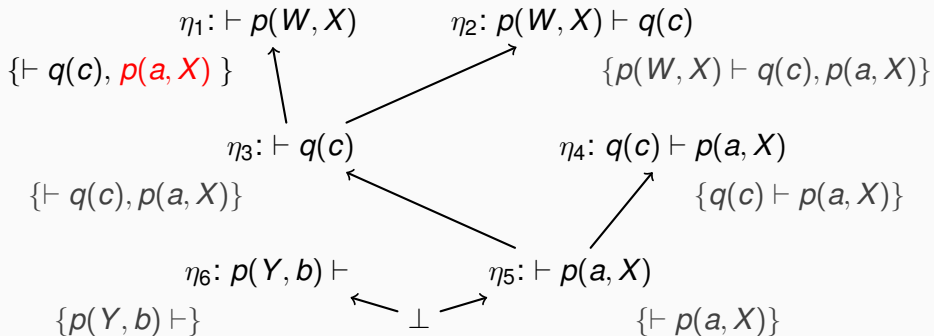




# Propositional Example



# Pre-Regularization Checks I

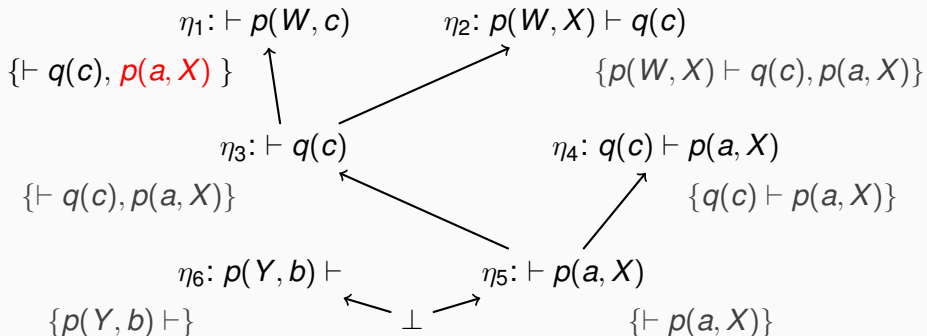


$$\sigma = \{W \rightarrow a\} \implies \sigma\eta_1 \in \mathcal{S}(\eta_1)$$

# Pre-Regularization Checks I

$$\begin{array}{ccc} \eta_6: p(Y, b) \vdash & & \eta_1: \vdash p(W, X) \\ & \nwarrow \perp \nearrow & \\ \sigma = \{W \rightarrow Y, X \rightarrow b\} & & \end{array}$$

# Pre-Regularization Checks II




$$\sigma = \{W \rightarrow a, X \rightarrow c\} \implies \sigma\eta_1 \in \mathcal{S}(\eta_1)$$

but...



# Pre-Regularization Checks II

$$\eta_6: p(Y, b) \vdash \quad \quad \quad \eta_1: \vdash p(c, a)$$


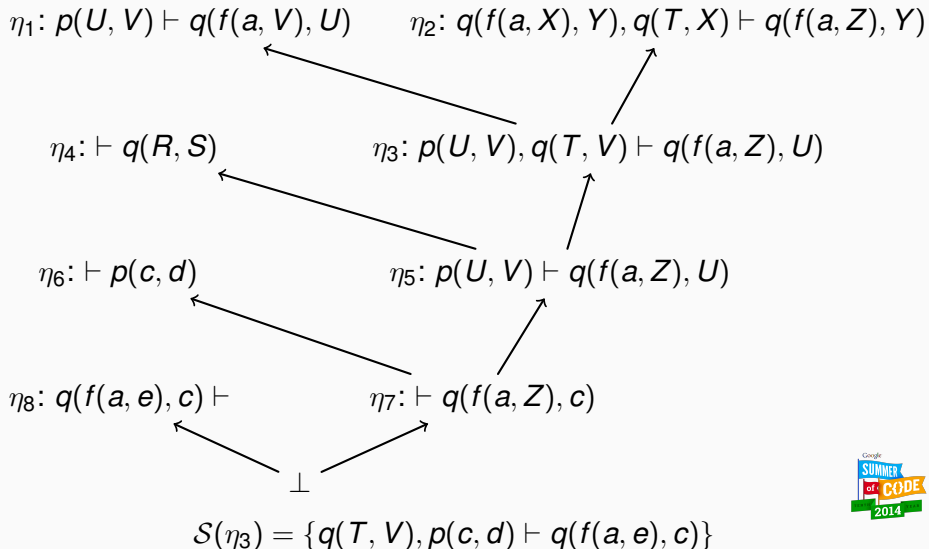
The diagram shows a red horizontal line with a short vertical black tick mark in the center. From the left end of the red line, a black arrow points diagonally up and to the left towards the expression  $\eta_6: p(Y, b) \vdash$ . From the right end of the red line, a black arrow points diagonally up and to the right towards the expression  $\eta_1: \vdash p(c, a)$ .

no  $\sigma$ !

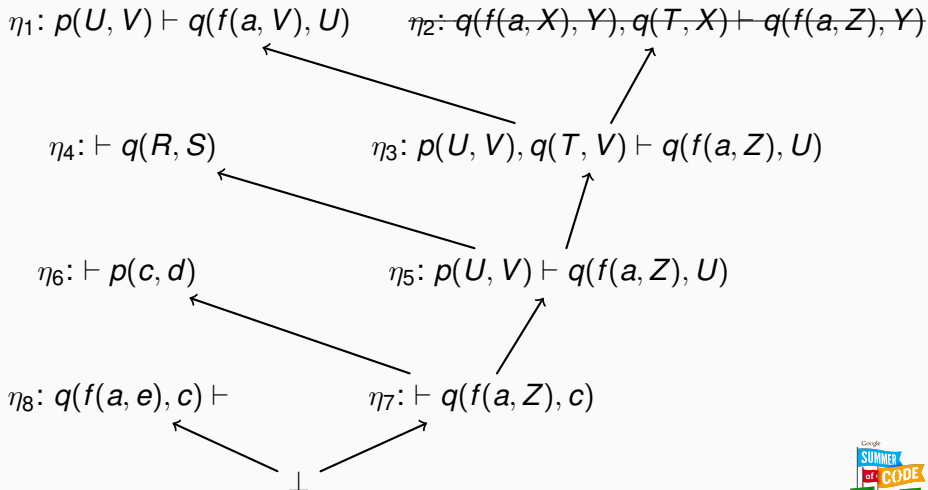
## Definition

Let  $\eta$  be a node with pivot  $\ell'$  unifiable with safe literal  $\ell$  which is resolved against literals  $\ell_1, \dots, \ell_n$  in a proof  $\psi$ .  $\eta$  is said to satisfy the *pre-regularization unifiability property* in  $\psi$  if  $\ell_1, \dots, \ell_n$ , and  $\bar{\ell}'$  are unifiable.

# Post-Regularization Checks



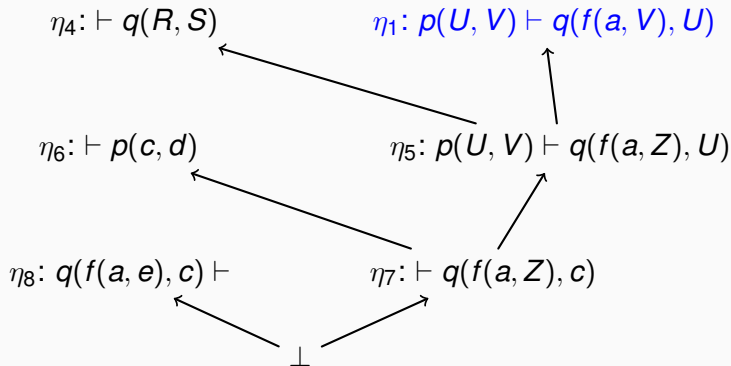
# Post-Regularization Checks





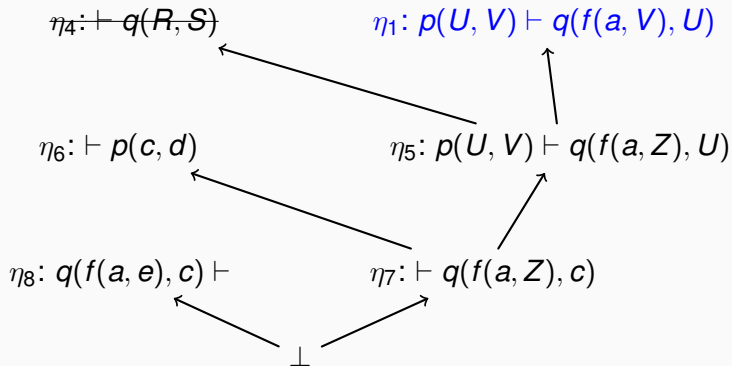
# Post-Regularization Checks

$$\eta_5: p(U, V) \vdash q(f(a, V), U) \vee \eta_4: p(f(a, X), Y), q(T, X) \vdash q(f(a, Z), Y)$$



# Post-Regularization Checks

$$\eta_1: p(U, V) \vdash q(f(a, V), U) \vee \eta_2: p(f(a, X), Y) \wedge q(T, X) \vdash q(f(a, Z), Y)$$

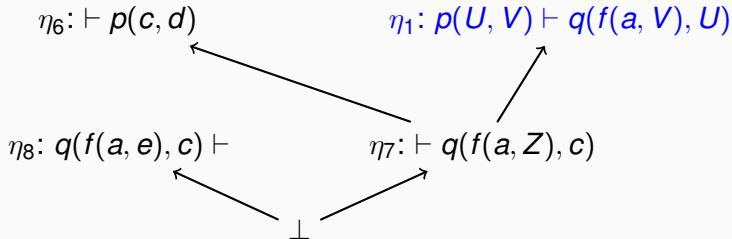


# Post-Regularization Checks

$$\eta_5: p(U, V) \vdash q(f(a, V), U) \quad \eta_2: q(f(a, X), Y), q(T, X) \vdash q(f(a, Z), Y)$$

$$\eta_4: \vdash q(R, S)$$

$$\eta_5: p(U, V) \vdash q(f(a, V), U)$$

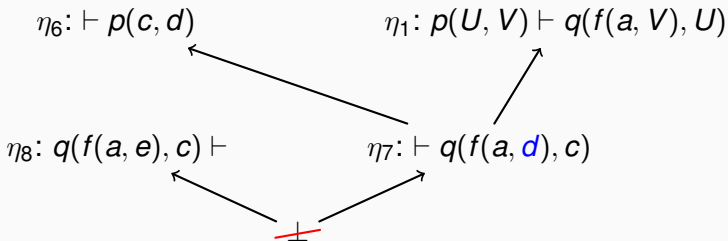


# Post-Regularization Checks

$$\eta_1: p(U, V) \vdash q(f(a, V), U) \quad \eta_2: p(f(a, X), Y) \vdash q(T, X) \vdash q(f(a, Z), Y)$$

$$\eta_4: \vdash q(R, S)$$

$$\eta_5: p(U, V) \vdash q(f(a, V), U)$$



## Definition

Let  $\eta$  be a node with safe literals  $\mathcal{S}(\eta) = \phi$  that is marked for regularization with parents  $\eta_1$  and  $\eta_2$ , where  $\eta_2$  is marked as a `deletedNode` in a proof  $\psi$ .  $\eta$  is said to satisfy the *regularization unifiability property* in  $\psi$  if there exists a substitution  $\sigma$  such that  $\eta_1\sigma \subseteq \phi$ .

- Traverse bottom up, collect safe literals (apply unifiers to pivots), check pre-regularization property
- Traverse top-down, check regularization property



# Experiment Setup

- Greedy First-Order Lower Units, Recycle Pivots With Intersection implemented as part of Skeptik (in Scala)
- > 2400 randomly generated resolution proofs
- minutes to generate, seconds to compress



# Results

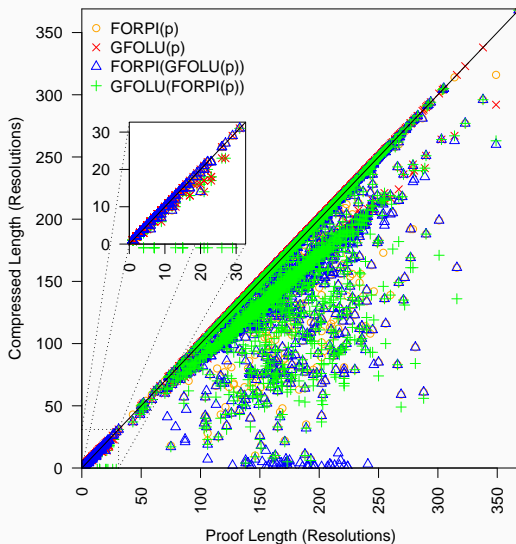
Algorithm	# of Proofs Compressed			# of Removed Nodes		
	TPTP	Random	Both	TPTP	Random	Both
GFOLU(p)	55 (17.9%)	817 (35.9%)	872 (33.7%)	107 (4.8%)	17,769 (4.5%)	17,876 (4.5%)
FORPI(p)	23 (7.5%)	666 (29.2%)	689 (26.2%)	36 (1.6%)	28,904 (7.3%)	28,940 (7.3%)
GFOLU(FORPI(p))	55 (17.9%)	1303 (57.1%)	1358 (52.5%)	120 (5.4%)	48,126 (12.2%)	48,246 (12.2%)
FORPI(GFOLU(p))	23 (7.5%)	1302 (57.1%)	1325 (51.2%)	120 (5.4%)	48,434 (12.3%)	48,554 (12.3%)
Best	59 (19.2%)	1303 (57.1%)	1362 (52.5%)	120 (5.4%)	55,530 (14.1%)	55,650 (14.0%)



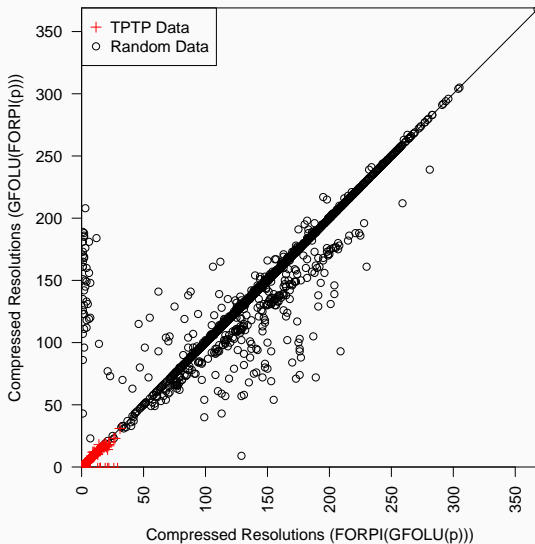
# Results

Algorithm	First-Order Compression		Algorithm	Propositional Compression [3]
	All	Compressed Only		
GFOLU(p)	4.5%	13.5%	LU(p)	7.5%
FORPI(p)	6.2%	23.2%	RPI(p)	17.8%
GFOLU(FORPI(p))	10.6%	23.0%	(LU(RPI(p)))	21.7%
FORPI(GFOLU(p))	11.1%	21.5%	(RPI(LU(p)))	22.0%
Best	12.6%	24.4%	Best	22.0%

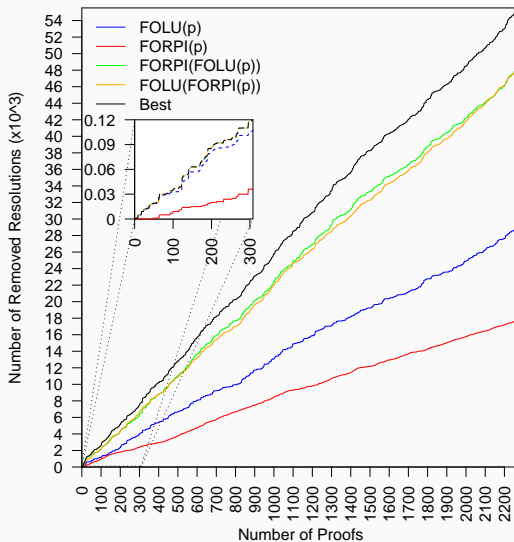
# Results



# Results



# Results



# Conclusion





- Another simple, quick algorithm lifted from propositional to first-order logic for proof compression. Use both!
  - LowerUnits compresses more often
  - RPI compresses more
- Future work:
  - Explore other proof compression algorithms?
  - Explore ways of dealing with the post-deletion property quickly

Thank you for your attention.  
Any questions?

- Source code: <https://github.com/jgorzny/Skeptik>
- Data: <https://cs.uwaterloo.ca/~jgorzny/data/>
- Expanded paper on Arxiv!



# References i

-  Omer Bar-Ilan, Oded Fuhrmann, Shlomo Hoory, Ohad Shacham, and Ofer Strichman, *Linear-time reductions of resolution proofs*, Haifa Verification Conference, Springer, 2008, pp. 114–128.
-  Pascal Fontaine, Stephan Merz, and Bruno Woltzenlogel Paleo, *Compression of propositional resolution proofs via partial regularization*, International Conference on Automated Deduction, Springer, 2011, pp. 237–251.
-  Marijn J. H. Heule, Oliver Kullmann, and Victor W. Marek, *Solving and verifying the boolean pythagorean triples problem via cube-and-conquer*, CoRR **abs/1605.00723** (2016).
-  Rüdger Thiele, *Hilbert's twenty-fourth problem*, The American mathematical monthly **110** (2003), no. 1, 1–24.



Gregory Tseitin, *On the complexity of proofs in propositional logics*, Seminars in Mathematics, vol. 8, 1970, pp. 466–483.

$$\begin{array}{c}
 \frac{\eta_8: p(X), q(X), r(X) \vdash \quad \eta_7: \vdash p(Y)}{\eta_6: q(Y), r(Y) \vdash} \quad \eta_5: p(Z) \vdash q(Z) \\
 \frac{\eta_4: p(Z), r(Z) \vdash \quad \eta_3: \vdash r(W)}{\eta_2: p(W) \vdash \quad \eta_1: \vdash p(U)} \\
 \psi: \perp
 \end{array}$$