

# Imbalance on Threshold Graphs and Bipartite Permutation Graphs

**Jan Gorzny**

David R. Cheriton School of Computer Science

[jgorzny@uwaterloo.ca](mailto:jgorzny@uwaterloo.ca)



**UNIVERSITY OF WATERLOO**

**FACULTY OF MATHEMATICS**

David R. Cheriton School  
of Computer Science

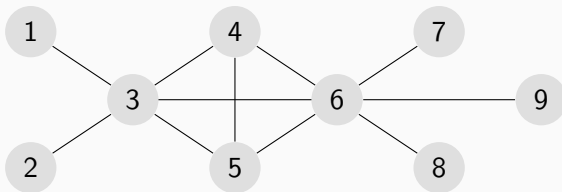
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- 1 Introduction
- 2 Bipartite Permutation Graphs
- 3 Threshold Graphs
- 4 Additional Results
- 5 Conclusion

# Our Problem: Imbalance

- A *linear layout* problem: given a graph  $G$ , embed the vertices on a path of length  $|V(G)|$  and minimize some function  $f()$ .
  - In our case:  $f$  represents the sum of the difference of each vertex's neighbourhood to its left and right in the embedding.
- First introduced by Biedl et al. [BCG<sup>+</sup>05]; various applications in graph drawing [Kan96, KH97, PT98, Woo03, Woo04].
- NP-complete for split graphs and on bipartite graphs ( $\Delta \leq 6$ ); it has a linear solution on trees and proper interval graphs [BCG<sup>+</sup>05, ?].

# Imbalance Visualized



$$\sigma = \langle 1, 2, 3, 4, 5, 6, 7, 8, 9 \rangle$$

$$N(4) = \{3, 5, 6\} = \langle 1, 2, \boxed{3}, 4, \boxed{5, 6}, 7, 8, 9 \rangle$$

$$\phi_{\sigma}(4) = ||\{3\}| - |\{5, 6\}|| = 1$$

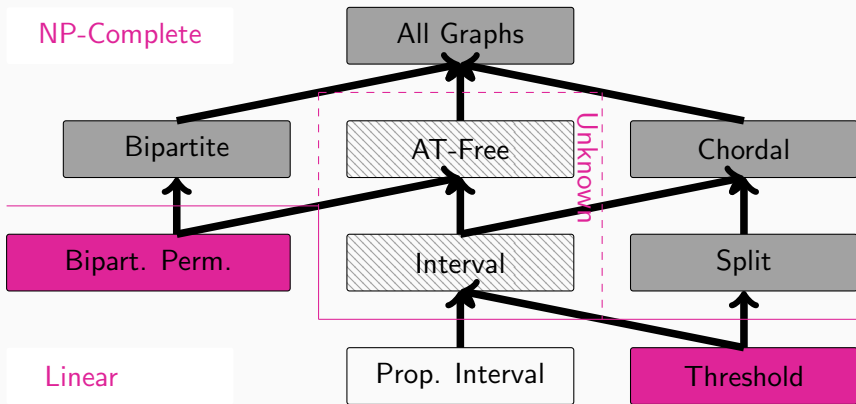
$v$	1	2	3	4	5	6	7	8	9
$\phi_{\sigma}(v)$	1	1	1	1	1	0	1	1	1

$$im(\sigma) = \sum_{v \in V} \phi_{\sigma}(v) = 8$$

## Definition

Let  $G = (V, E)$  be a graph and  $\sigma$  an ordering of  $V$ . For  $v \in V$ , let  $\text{pred}_\sigma(v) = |\sigma_{<v} \cap N(v)|$  and  $\text{succ}_\sigma(v) = |\sigma_{>v} \cap N(v)|$ . The imbalance of  $v$  w.r.t.  $\sigma$ , denoted  $\phi_\sigma(v)$ , is  $|\text{succ}_\sigma(v) - \text{pred}_\sigma(v)|$ . The imbalance of  $\sigma$  is  $\text{im}(\sigma) = \sum_{v \in \sigma} \phi_\sigma(v)$ .  $\text{im}(G)$ , the *imbalance* of  $G$ , is the minimum of  $\text{im}(\sigma)$  **over all orderings**  $\sigma$  of  $V$ .

## Graph Class Results



An arrow from class  $A$  to class  $B$  indicates that class  $A$  is contained within class  $B$ . Pink classes are in this work.

# Plan

- 1 Introduction
- 2 **Bipartite Permutation Graphs**
- 3 Threshold Graphs
- 4 Additional Results
- 5 Conclusion

# Bipartite Permutation Graphs

A graph is a *permutation* graph if it is the intersection graph of lines whose end points are on two parallel lines. A graph is a bipartite permutation graph if it is both a bipartite graph and a permutation graph.

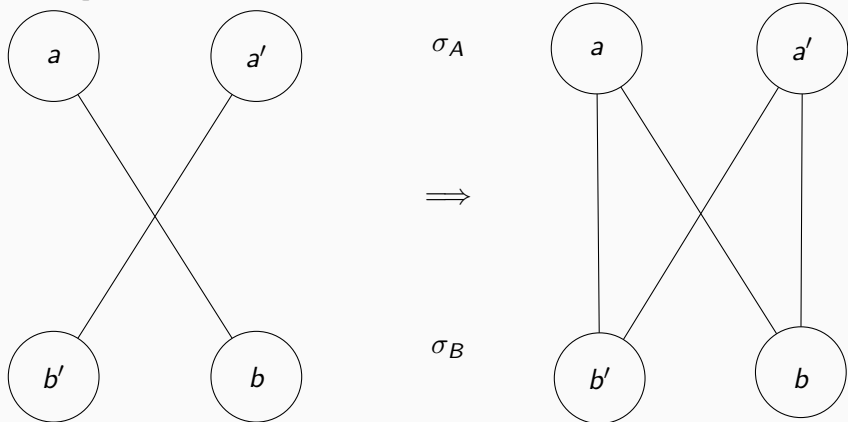
Proper interval bipartite graphs are bipartite permutation graphs [HH04].

Complete bipartite graphs are bipartite permutation graphs.



# Strong Ordering

A *strong ordering*  $(\sigma_A, \sigma_B)$  of a bipartite graph  $G = (A, B, E)$  consists of an ordering  $\sigma_A$  of  $A$  and an ordering  $\sigma_B$  of  $B$  such that for all  $ab, a'b' \in E$ , where  $a, a' \in A$  and  $b, b' \in B$ ,  $a <_{\sigma_A} a'$  and  $b' <_{\sigma_B} b$  implies that  $ab' \in E$  and  $a'b \in E$ .



# Orderings of Bipartite Permutation Graphs

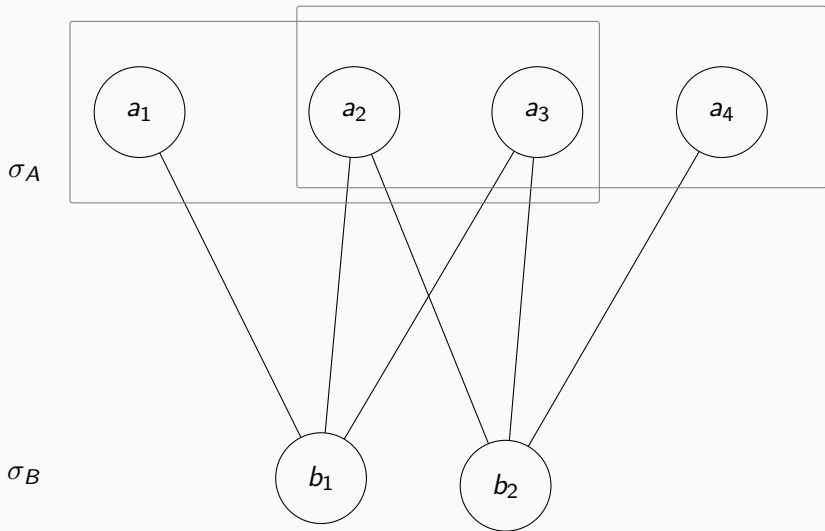
## Theorem ([SBS87])

*The following statements are equivalent for any bipartite graph  $G = (A, B, E)$ .*

- *$G$  is a bipartite permutation graph.*
- *$G$  has a strong ordering.*
- *There exists an ordering of  $A$  which has the adjacency property and the enclosure property.*

A strong ordering of a bipartite permutation graph can be computed in linear time [CHK99].

# Enclosure and Adjacency Properties



# Strong Orderings of Bipartite Permutation Graphs

## Lemma ([SBS87])

*Let  $(\sigma^A, \sigma^B)$  be a strong ordering of a connected bipartite permutation graph  $G = (A, B, E)$ . Then both  $\sigma^A$  and  $\sigma^B$  have the adjacency property and the enclosure property.*

## Theorem ([BCG<sup>+</sup>05])

*Given a bipartite graph  $G = (A, B, E)$  and a fixed vertex-ordering  $\sigma^A$  of  $A$ , there is a linear time algorithm that finds an ordering of  $G$  which is imbalance-minimal with respect to all orderings that agree with  $\sigma^A$ .*

## Theorem

*Let  $(\sigma^A, \sigma^B)$  be a strong ordering of a bipartite permutation graph  $G = (A, B, E)$ . There is an ordering  $\sigma$  of  $G$  with  $\text{im}(\sigma) = \text{im}(G)$  and  $\sigma_A = \sigma^A$ .*

- Handle some small highly structured cases (e.g.,  $\text{diam}(G) \leq 2$ ).
- Induction on the size of the graph:
  - Given a graph  $G = (A, B)$  with  $n$  vertices, create  $G'$  by removing  $a_1$  and  $G''$  by removing  $b_t$ .
  - Get optimal orderings which satisfy the properties for  $G'$  and  $G''$ , identify  $v$  with the same  $N(v)$  split in both orderings
  - Glue orderings together at  $v$

# Imbalance on Bipartite Permutation Graphs

## Corollary

*If  $G$  is a bipartite permutation graph,  $im(G)$  can be computed in linear time.*

## Proof.

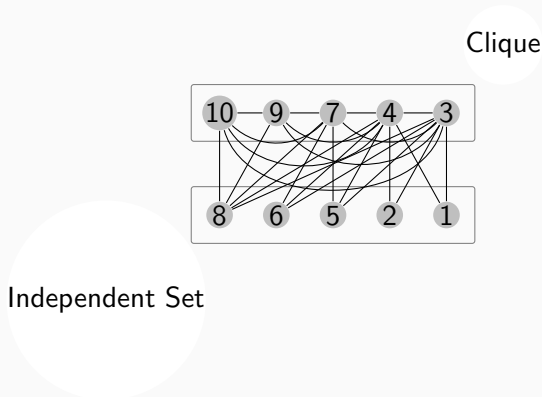
A strong ordering of  $G = (A, B, E)$  can be obtained in linear time [CHK99]. Applying Theorem 3 using  $\sigma^A$  generates an optimal ordering relative to  $\sigma^A$  in linear time, which is optimal by Theorem 4. □



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- 1 Introduction
- 2 Bipartite Permutation Graphs
- 3 **Threshold Graphs**
- 4 Additional Results
- 5 Conclusion

# Split Graphs



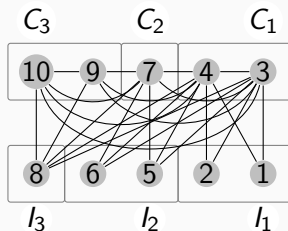
A split graph, with its split partition indicated.  
Imbalance is NP-complete on split graphs [?].

# Threshold Graphs

A graph is a *threshold graph* if and only if it has a split partition  $(C, I)$  such that vertices of  $I$  (and equivalently the vertices of  $C$ ) can be ordered by neighbourhood inclusion.

Such a split partition is called a *threshold partition*; computing a threshold partition takes linear time [?].

# Threshold Partition Visualized



A threshold graph  $G$  with levels of its threshold partition indicated.

# Key Lemma

## Lemma

*Let  $G$  be a threshold graph on  $\ell \geq 3$  levels and let  $\sigma$  be an ordering of  $G$ . Suppose that either  $|C_1| \geq 2$ , or  $|C_1| = 1$  and  $\sigma$  is an ordering of  $G$  such that  $l_1$  appears as the first  $|l_1|$  vertices of  $\sigma$ . Then there is an ordering  $\sigma'$  such that  $im(\sigma') \leq im(\sigma)$  and  $c_i <_{\sigma'} c_j$  for  $c_i \in C_i$  and  $c_j \in C_j$  whenever  $j > i$ .*

# Proof Sketch

We define a partial order  $\triangleleft$  on orderings of  $G$ , for which each  $\triangleleft$ -minimal ordering has no inversions  $(c_j, c_i)$  where  $j > i$ ,  $c_j \in C_j$ ,  $c_i \in C_i$ , and  $c_j <_{\sigma} c_i$ .

We define the ordering  $\triangleleft$  as follows: if  $\text{inverted}(\pi) < \text{inverted}(\sigma)$ , then  $\pi \triangleleft \sigma$ .

We then show that for any  $\sigma$  in which a pair  $(c_j, c_i)$  such that  $j > i$ ,  $c_j \in C_j$ ,  $c_i \in C_i$ , and  $c_j <_{\sigma} c_i$  appears, there exists  $\pi$  with  $\pi \triangleleft \sigma$ , and  $\text{im}(\pi) \leq \text{im}(\sigma)$ .

## Proof Sketch II

Given an ordering  $\sigma$ , we will say that a pair  $(c_j, c_i)$  is an *inverted pair* if  $j > i$  and  $c_j <_{\sigma} c_i$  and  $c_j \in C_j$ ,  $c_i \in C_i$ ; an inverted pair is a *bad pair* if it is also the case that  $N(c_i) \cap \sigma_{>c_i} = N(c_j) \cap \sigma_{>c_i}$ . For an ordering  $\sigma$ , let  $\text{inverted}(\sigma)$  be the number of inverted pairs in  $\sigma$ .

We proceed by contradiction.

## Proof Sketch III

Let  $(c_j, c_i)$  be the inverted pair that has both  $c_j$  and  $c_i$  as far right as possible - this actually implies that  $\sigma$  contains a bad pair.

Let  $(c_j, c_i)$  be the bad pair that places  $c_j$  as far right as possible and minimizes the number of vertices between  $c_j$  and  $c_i$  in  $\sigma$ .

Establish that the vertices between  $c_i$  and  $c_j$  are in  $C_i$  or  $I \dots$



## Proof Sketch IV

...either we can move one of those vertices, or we have these two constraints:

$$|N(c_j) \cap L| \geq |N(c_j) \cap (M \cup \{c_i\} \cup R)| - |M|. \quad (1)$$

$$|N(c_i) \cap (L \cup \{c_j\} \cup M)| \leq |N(c_i) \cap R| + (|M| + 1), \quad (2)$$

Thus, we have

$$\begin{aligned} |N(c_i) \cap R| &\geq |N(c_i) \cap (L \cup \{c_j\} \cup M)| - |M| - 1 && \text{by (2)} \\ &= |N(c_i) \cap L| + |\{c_j\}| + |M| - |M| - 1 \\ &= |N(c_i) \cap L| \\ &\geq |N(c_j) \cap L| \\ &\geq |N(c_j) \cap (M \cup \{c_i\} \cup R)| - |M| && \text{by (1)} \\ &\geq |N(c_j) \cap R| + |M| + |\{c_i\}| - |M| > |N(c_j) \cap R| \end{aligned}$$

which is a contradiction. □

## Lemma

*Let  $G$  be a threshold graph on  $\ell \geq 3$  levels such that  $|C_1| = 1$ . If  $|I_1| \leq |G \setminus (C_1 \cup I_1)|$ , then there is an ordering  $\sigma$  such that  $\text{im}(\sigma) = \text{im}(G)$  and  $I_1$  are the first  $|I_1|$  vertices of  $\sigma$ .*

## Lemma

*Let  $G$  be a threshold graph on  $\ell \geq 3$  levels such that  $|C_1| = 1$ . If  $|I_1| > |G \setminus (C_1 \cup I_1)|$ , then there is an ordering  $\sigma'$  such that  $\text{im}(\sigma') = \text{im}(G)$  and  $c_i <_{\sigma'} c_j$  for  $c_i \in C_i$  and  $c_j \in C_j$  whenever  $j > i$ .*

# Putting It All Together

## Lemma

*If  $G$  be a threshold graph, then there is an ordering  $\sigma'$  such that  $im(\sigma') = im(G)$  and  $c_i <_{\sigma'} c_j$  for  $c_i \in C_i$  and  $c_j \in C_j$  whenever  $j > i$ .*

# The Final Result

## Theorem

*Imbalance can be solved in time  $O(n)$  for threshold graphs.*

## Proof.

(Sketch) Let  $G'' = (V, E')$ , and construct  $G'$  by adding each edge  $(u, v) \in E$  such that  $u, v \in C$  and subdividing it.

Now the graph is bipartite.

By Lemma 9, at least one optimal ordering  $\sigma$  of  $G$  is such that  $\sigma_C = \tau$ .

Apply the algorithm of Theorem 3 to get an optimal ordering  $\sigma'$  of  $G'$ .  $\square$

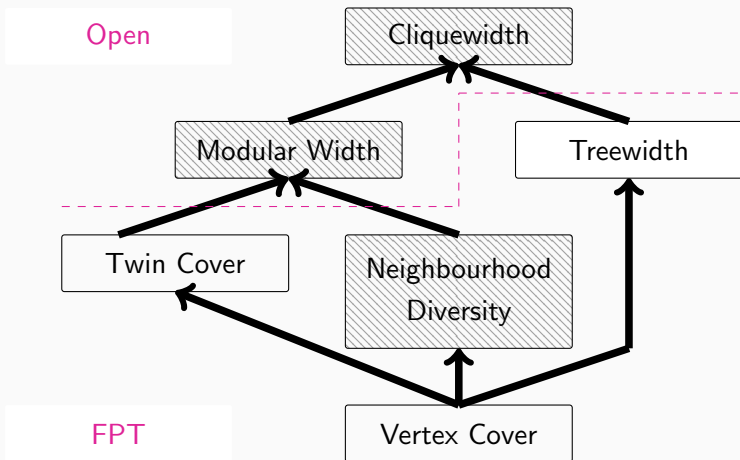
### Corollary

*If  $G$  be a threshold graph, then there is an ordering  $\sigma'$  such that  $im(\sigma') = im(G)$  and  $c_i <_{\sigma'} c_j$  for  $c_i \in C_i$  and  $c_j \in C_j$  whenever  $j > i$ , and  $v_i <_{\sigma'} v_j$  for  $v_i \in I_i$  and  $v_j \in I_j$  whenever  $j > i$ .*

# Plan

- 1 Introduction
- 2 Bipartite Permutation Graphs
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# Parameterized Results



An arrow from class  $A$  to class  $B$  indicates that class  $A$  is generalized by class  $B$ .

Use the approach of Cygan et al. [CLP<sup>+</sup>14] for Cutwidth.

## Theorem

*Let  $G$  be a graph with vertex cover of size  $k$ . There is an algorithm to solve Imbalance in time  $O(2^k n^{O(1)})$ . Therefore there is a  $O(2^{n/2} n^{O(1)})$  time algorithm for Imbalance on bipartite graphs.*

## Theorem

*Imbalance parameterized by the size of the vertex cover does not admit a polynomial kernel, unless  $NP \subseteq coNP/poly$ .*



# Plan





- 1 Introduction
- 2 Bipartite Permutation Graphs
- 3 Threshold Graphs
- 4 Additional Results
- 5 Conclusion





- Future Work
  - Imbalance's complexity on cographs? On trivially perfect graphs?
  - Formalization of relationship to cutwidth?

Thank you.

Questions? Comments?

`jgorzny@uwaterloo.ca`

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